

# Spontaneous breaking of chiral invariance by an external magnetic field in (2+1) dimensions

A. S. Vshivtsev and B. V. Magnitskiĭ

*Moscow Institute of Radioengineering, Electronics, and Automatic Systems, 117454  
Moscow, Russia*

K. G. Klimenko

*Institute of High-Energy Physics, Russian Academy of Sciences, 142284 Protvino, Moscow  
Region, Russia*

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The phase structure of the three-dimensional Gross–Neveu model in an external magnetic field  $H$  in the presence of a chemical potential  $\mu$  is investigated. The critical curve  $\mu_c(H)$  which separates the zero-mass symmetric theory from the massive theory with spontaneous breaking of the chiral invariance of the phase is constructed in the  $(\mu, H)$  plane. The behavior of the critical curve in the limits  $H \rightarrow \infty$  and  $H \rightarrow 0$  is determined. © 1995 American Institute of Physics.

It was recently shown<sup>1</sup> that an external magnetic field  $H$  is a catalyst for spontaneous breaking of the chiral (flavor) symmetry in (2+1)-dimensional quantum field theories. In Ref. 1 it was shown that for  $H \neq 0$  the condensate of the spinor fields is different from zero in the zero-mass quantum electrodynamics (QED<sub>3</sub>) and also in the three-dimensional model with a four-fermion interaction. The effect was investigated in greater detail in subsequent works.<sup>2,3</sup> Specifically, it was shown<sup>3</sup> that within QED<sub>3</sub> the formation of the condensate  $\langle \bar{\psi}\psi \rangle$  is unstable relative to the temperature  $T$  and the chemical potential  $\mu$ ; i.e., for  $T \neq 0$  and  $\mu \neq 0$  the spinor-field condensate is equal to zero.

In view of the discovery of the “new” effect, we wish to say, first of all, that even in Ref. 4 the three-dimensional Gross–Neveu (GN) model was studied with  $H \neq 0$  and  $T \neq 0$ . It was shown there that an external magnetic field gives rise to spontaneous breaking of the chiral symmetry. Moreover, the critical temperature  $T_c(H)$  (in contrast to QED<sub>3</sub> it is not zero), below which the fermion masses are different from zero, was found (i.e.,  $\langle \bar{\psi}\psi \rangle \neq 0$ , and the symmetry is broken spontaneously), while for  $T > T_c(H)$  the system passes into a zero-mass phase via a second-order phase transition.

In the present paper we investigate the combined effect of an external magnetic field and a chemical potential on the phase structure of the three-dimensional GN model. The Lagrangian of the model is

$$L = \bar{\psi}_k i \hat{\partial} \psi_k + \frac{G}{2N} (\bar{\psi}_k \psi_k)^2, \quad (1)$$

where the summation over  $k$  from one to  $N$  is implied, and  $\psi_k$  is a four-component Dirac spinor for each fixed  $k$ . In this formalism

$$\gamma^0 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & -\sigma_3 \end{pmatrix}, \quad \gamma^1 = \begin{pmatrix} i\sigma_1 & 0 \\ 0 & -i\sigma_1 \end{pmatrix}, \quad \gamma^2 = \begin{pmatrix} i\sigma_2 & 0 \\ 0 & -i\sigma_2 \end{pmatrix},$$

and the Lagrangian (1) is invariant under a discrete chiral transformation

$$\psi_k \rightarrow \gamma^5 \psi_k, \quad \gamma^5 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \quad (2)$$

where  $\sigma_i$  are Pauli matrices, and  $I$  is a  $2 \times 2$  unit matrix. We believe that the results of the present paper could be helpful in explaining different planar effects, including the quantum Hall effect and high- $T_c$  superconductivity, for the description of which the external magnetic field  $H$  and chemical potential  $\mu$  must be invoked.

We consider first the case  $H, \mu = 0$ . Introducing an auxiliary scalar field  $\sigma \sim \bar{\psi}_k \psi_k$ , we can write the effective potential of the model (1) in the leading order of an expansion in  $1/N$  as<sup>4,5</sup>

$$\frac{1}{N} V_0(\sigma) = \frac{\sigma^2}{2G} - 2 \int \frac{d^3 p}{(2\pi)^3} \ln(p^2 + \sigma^2). \quad (3)$$

The integration in Eq. (3) extends over the region  $0 \leq p^2 \leq \Lambda^2$  in Euclidean momentum space. The procedure for eliminating the ultraviolet divergences from expressions of the type (3) is described in Ref. 5, so that we immediately present the renormalized effective potential

$$\frac{1}{N} V_0(\sigma) = \frac{\sigma^2}{2f} + \frac{|\sigma|^3}{3\pi}, \quad (4)$$

$$\frac{1}{f} \equiv \frac{1}{G} - \frac{2\Lambda}{\pi^2} = \frac{1}{G(m)} - \frac{2m}{\pi}, \quad (5)$$

where  $G(m)$  is the renormalized coupling constant, and  $m$  is the normalization point.

It follows from Eq. (5) that the constant  $f$  does not depend on either the normalization point  $m$  or the parameter  $\Lambda$ ; i.e., expression (4) is finite and renormalization-invariant. It follows from Eq. (4) that for  $f > 0$  the absolute minimum of the potential lies at the point  $\sigma = 0$ , so that the chiral invariance of the theory is not broken. For  $f < 0$  the point of the global minimum of the potential is  $\sigma_0 = -\pi/f$ . In this case the mass  $M \equiv \sigma_0$  arises spontaneously for fermions and the symmetry of the model under the transformation (2) is broken spontaneously. [If the constant  $g \equiv \Lambda G$  is used as an independent parameter,<sup>1</sup> then we find for  $g < g_c = \pi^2/2$  a zero-mass symmetric phase of the theory. In this case, as follows from Eq. (5),  $f > 0$ . Let  $g > g_c$  (i.e.,  $f < 0$ ). Then a massive phase with spontaneous breaking of the chiral invariance is realized in the system.]

We now consider the case  $H, \mu \neq 0$ . Omitting the quite tedious calculations, we present immediately an expression for the effective potential:

$$V_{H\mu}(\sigma) = V_H(\sigma) - \frac{NeH}{2\pi} \sum_{n=0}^{\infty} \alpha_n \Theta(\mu - \epsilon_n)(\mu - \epsilon_n). \quad (6)$$

[Equation (6) is derived similarly to the derivation of the effective action in QED<sub>3</sub> in the one-loop approximation with  $H, \mu, T \neq 0$ <sup>6</sup> (Ref. 6).] Here  $\alpha_n = 2 - \delta_{n0}$ ,  $\Theta(x)$  is the Heaviside function,  $\epsilon_n = (\sigma^2 + 2eHn)^{1/2}$ ,  $e$  is the electric charge, and  $V_H(\sigma)$  is the effective potential with  $H \neq 0$ ,  $\mu = 0$ : (Refs. 4 and 7):

$$\begin{aligned} \frac{1}{N} V_H(\sigma) &= \frac{1}{N} V_0(\sigma) + \frac{eH}{4\pi^{3/2}} \int_0^\infty \frac{dx}{x^{3/2}} e^{-x\sigma^2} \left[ \coth(eHx) - \frac{1}{eHx} \right] \\ &= \frac{\sigma^2}{2f} + \frac{eH|\sigma|}{2\pi} - \frac{(2eH)^{3/2}}{2\pi} \zeta\left(-\frac{1}{2}, \frac{\sigma^2}{2eH}\right), \end{aligned} \quad (7)$$

where  $\zeta(s, v)$  is the generalized Riemann zeta function.<sup>8</sup> The point  $\sigma_0(H)$  of the global minimum of the function (7) has the following properties.<sup>4</sup> As  $H \rightarrow 0$ ,

$$\sigma_0(H) = \begin{cases} feH/2\pi + \dots, & f > 0 \\ M[1 + (eH)^2/12M^4 + \dots], & f < 0 \end{cases} \quad (8)$$

Here  $M$  is the mass of the fermions at  $H = 0$ . As  $H \rightarrow \infty$ , we have, irrespective of the sign of the constant  $f$

$$\sigma_0(H) \approx \sqrt{0.2eH}. \quad (9)$$

Let us analyze the behavior of the point of the global minimum of the potential  $V_{H\mu}(\sigma)$  as a function of  $\mu$  and  $H$ . The equation expressing the steady state of the function (6) on the interval  $\sigma \geq 0$  has the form

$$0 = \frac{\sigma}{f} + \frac{eH}{2\pi} - \frac{\sigma\sqrt{2eH}}{2\pi} \zeta\left(\frac{1}{2}, \frac{\sigma^2}{2eH}\right) + \frac{eH}{2\pi} \sum_{n=0}^{\infty} \alpha_n \Theta(\mu - \epsilon_n) \frac{\sigma}{\epsilon_n}. \quad (10)$$

Let us divide the parameter plane  $(\mu, H)$  for  $\mu, H \geq 0$  into the regions  $\Omega_n$ :

$$(\mu, H) = \bigcup_{n=0}^{\infty} \Omega_n; \quad \Omega_n = \{(\mu, H): 2eHn \leq \mu^2 \leq 2eH(n+1)\}. \quad (11)$$

It is obvious that in the region  $\Omega_0$  only the first term under the summation sign in Eq. (10) is different from zero, in  $\Omega_1$  the first and second terms are different from zero, and so on. In what follows, we shall require the following very important expansion of the  $\zeta$  function<sup>8</sup>

$$\zeta\left(\frac{1}{2}, \vartheta\right) = \sum_{i=0}^k (\vartheta + i)^{-1/2} - 2\sqrt{k + \vartheta} - \sum_{i=k}^{\infty} f_i(\vartheta), \quad (12)$$

where

$$f_k(\vartheta) = \frac{1}{2} \int_k^{k+1} \frac{(u-k)du}{(u+\vartheta)^{3/2}} > 0. \quad (13)$$

Let  $f > 0$ . We construct in the  $(\mu, H)$  plane the curve  $\mu = \sigma_0(H)$ , where  $\sigma_0(H)$  is the point of the global minimum of the potential (7). It is evident from Eqs. (8) and (9)

that for sufficiently high and low values of the field  $H$  the curve  $\mu = \sigma_0(H)$  passes through the region  $\Omega_0$ . Let  $(\mu, H) \in \Omega_0$ , where the steady-state equation (10) has the form [here we employed the expansion (12) with  $k=0$ ]

$$\frac{\sigma}{f} + \frac{\sigma^2}{\pi} + \frac{\sigma\sqrt{2eH}}{2\pi} \sum_{i=0}^{\infty} f_i \left( \frac{\sigma^2}{2eH} \right) - \frac{eH}{2\pi} [1 - \Theta(\mu - \sigma)] = 0. \quad (14)$$

It is obvious that for  $\mu > \sigma_0(H)$  Eq. (14) has only one solution:  $\sigma_1 = 0$ . If  $\mu < \sigma_0(H)$ , then one other solution, in addition to  $\sigma_1$ , will appear for Eq. (14):  $\sigma_2 = \sigma_0(H)$ . Similar calculations can be performed for any region  $\Omega_n$ . Therefore, for  $f > 0$  the points of the plane  $(\mu, H)$  which lie above the curve  $\mu = \sigma_0(H)$  correspond to the effective potential (6) which has a global minimum at  $\sigma_1 = 0$ . For  $\mu > \sigma_0(H)$  we thus have a zero-mass, chirally invariant phase of the theory. If  $\mu < \sigma_0(H)$ , then Eq. (10) will have two solutions:  $\sigma_1 = 0$  and  $\sigma_2 = \sigma_0(H)$ . The equation

$$V_{H\mu}(0) = V_{H\mu}(\sigma_0(H)) \quad (15)$$

therefore determines the critical curve  $\mu = \mu_c(H)$  which separates in the  $(\mu, H)$  plane the zero-mass phase [for  $\mu > \mu_c(H)$ ] from the massive phase [for  $\mu < \mu_c(H)$ ] in which the chiral symmetry of the theory is broken spontaneously. A first-order phase transition occurs when the critical curve is crossed, since the mass of the fermions in the case changes abruptly from zero to  $\sigma_0(H)$ . Since  $\mu_c(H) \leq \sigma_0(H)$ , for moderately low and moderately high values of  $H$  the critical curve lies in the region  $\Omega_0$ , where Eq. (15) has the form

$$\mu_c(H) = \frac{2\pi}{eH} [V_H(0) - V_H(\sigma_0(H))]. \quad (16)$$

For small values of  $H$  it follows from Eqs. (8) and (16) that

$$\mu_c(H) \cong \frac{2\pi}{eH} \frac{dV_H(0)}{d\sigma} \sigma_0(H) = \sigma_0(H). \quad (17)$$

In the limit  $H \rightarrow \infty$  we obtain from Eqs. (9) and (16)

$$\mu_c(H) \sim \sqrt{eH}. \quad (18)$$

If  $f < 0$ , then the analysis of the potential (6) becomes much more complicated. The problem is that in this case the equation for the steady state (10) can have solutions other than  $\sigma_1 = 0$  and  $\sigma_2 = \sigma_0(H)$ . As a result, we can obtain exact solutions only for sufficiently high values of the magnetic field. Omitting the detailed calculations, we note that for  $M = -\pi/f$

$$2M^2 < eH \quad (19)$$

the potential  $V_{H\mu}(\sigma)$  can have, just as in the case  $f > 0$ , not more than two stationary points  $\sigma_{1,2} = 0$  and  $\sigma_0(H)$ . In the region (19) of the  $(\mu, H)$  plane the critical curve  $\mu_c(H)$  is therefore determined by Eq. (15). For sufficiently high values of  $H$ , when  $\mu_c(H)$  lies in the region  $\Omega_0$ , the critical curve has the form (16) and in the limit  $H \rightarrow \infty$  the quantity  $\mu_c(H)$  behaves as  $\sqrt{eH}$ .

In summary, we have shown that in the three-dimensional Gross–Neveu model the chiral invariance, which is broken spontaneously by an external magnetic field, is neces-

sarily restored for some value of the chemical potential  $\mu_c(H) \neq 0$ . As  $H \rightarrow \infty$ , the critical curve  $\mu_c(H) \sim \sqrt{eH}$ , and for low values of  $H$  and  $f > 0$   $\mu_c(H)$  is equal to the dynamic fermion mass  $\sigma_0(H)$ .

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