## ON THE SIGN REVERSAL OF THE FLUX-FLOW HALL EFFECT

## IN TYPE-II SUPERCONDUCTORS

N.B. Kopnin, B.I. Ivlev, and V.A. Kalatsky L.D. Landau Institute for Theoretical Physics, RAS 117334 Moscow, Russia

Submitted 27 May 1992

For the BCS model of superconductivity, the Hall voltage in the mixed state is shown to be proportional to the energy derivative of the quasiparticle density of states. There is a possibility that the sign of the Hall effect in the mixed state is different from that in the normal state. In the latter case, the Hall angle changes its sign as a function of the magnetic field below  $H_{\rm c2}$ .

## Introduction

A sign reversal of the Hall angle as a function of magnetic field has been observed in recent experiments on high-temperature superconductors  $^{1-4}$ , and several theoretical models  $^{1,3,5,6}$  have been suggested to explain this behavior. The change of sign, however, is not a new phenomenon for the Hall effect in the mixed state: it has been observed for V and Nb already in seventies (see  $^7$  and references therein). This effect seems to be quite general and needs an explanation in terms of the vortex dynamics not specific for high-temperature superconductors alone. Experimental conditions for the Hall-effect measurements in superconductors correspond to the low-field limit since the cyclotron frequency is  $\omega_c \ll \tau^{-1}$  when H does not exceed  $H_{c2}$ . The normal-state Hall conductivity is  $\sigma_n^H \sim (\omega_c \tau) \sigma_n$ , and is small compared to the usual Ohmic part  $\sigma_n$ . This implies that the Hall effect in a not very pure superconductor can be treated as a perturbation on the background of a viscous flow of vortices. (In Refs.  $^{8,9}$  the Hall effect has been considered microscopically for the opposite, extremely pure, limit.)

In the present paper we derive a time-dependent Ginzburg-Landau theory which includes two mechanisms responsible for the Hall voltage. The first is the usual effect of the magnetic field on the normal current. The second mechanism, specific for vortices, is their traction by the superflow: the vortices have a velocity component parallel to the transport supercurrent. This gives rise to a Hall voltage since the averaged electric field is perpendicular to the vortex velocity  $\vec{v}_L$ . The second mechanism dominates for fields below  $H_{c2}$ .

## Modified TDGL equations

The time-dependent Ginzburg-Landau (TDGL) equations are

$$-\gamma(\frac{\partial\Psi}{\partial t} + 2ie\phi\Psi) = \frac{\delta\mathcal{F}_{sn}}{\delta\Psi^*} \quad ; \tag{1}$$

$$-\frac{1}{c}(\vec{j}-\vec{j}_n) = \frac{\delta \mathcal{F}_{sn}}{\delta \vec{A}} . \tag{2}$$

Here  $\mathcal{F}_{sn}$  is the condensation free energy of a superconductor,

$$\mathcal{F}_{sn} = \int \left[ C_1 \mid \Psi \mid^2 + \frac{1}{2} C_2 \mid \Psi \mid^4 + \frac{1}{2m} \mid (-i\vec{\nabla} - \frac{2e}{c}\vec{A})\Psi \mid^2 \right] dV . \tag{3}$$

To account for the Hall effect within the TDGL formalism, one can include the Hall component into the normal current 10,11:

$$\vec{j}_n = \sigma_n \vec{E} + \sigma_n^H [\vec{E} \times \vec{H}] / H \quad . \tag{4}$$

This contribution, however, is not the only one. In the dissipative flux-flow regime, vortices move perpendicular to the transport current, so that the averaged electric field induced in the superconductor is parallel to the transport current. In the ideal fluid, however, a vortex moves together with the flow; this complete vortex traction by the flow is a consequence of the Galilean invariance. For the TDGL model, there is no Galilean invariance since the excitations are at rest with the crystal lattice. However, some vortex traction can still exist: it can appear through a small imaginary part of the relaxation constant  $\gamma = \gamma' + i\gamma''$  in Eq. (1). Indeed, if  $\gamma$  were purely imaginary Eq. (1) would be a (nonlinear) Schrödinger equation which is Galilean invariant.

The TDGL model with a complex  $\gamma$  can be justified by the microscopic theory of nonstationary superconductivity. To account for the Hall effect one has to go beyond the quasiclassical approximation generally used in the theory of superconductivity and to take into account energy dependences of such quantities as the density of states, the pairing potential (the phonon Green's function for the phonon model of superconductivity, for example), relaxation times, etc. In the present paper we consider the simplest example of the BCS model of superconductivity. Within this model the only contribution to the vortex traction is due to the energy dependence of the quasiparticle density of states.

The TDGL equations can be derived only for gapless superconductors. We consider a simple case of a gapless regime for a weak pair-breaking with the characteristic time  $\tau_0$  such that  $\tau_0 T_c \gg 1$ . One can show that the ratio of the imaginary and the real parts of  $\gamma$  is

$$\zeta \equiv -\frac{\gamma''}{\gamma'} = \frac{4T}{\pi\nu(0)} \left[ \frac{\partial\nu(0)}{\partial\xi_p} \right] (\frac{1+\lambda}{\lambda}),\tag{5}$$

where  $\lambda$  is the BCS pairing constant.

The imaginary part  $\gamma''$  is proportional to the derivative of the density of states  $\nu(\xi_p)$  with respect to the quasiparticle energy  $\xi_p = \epsilon_p - E_F$ , taken at the Fermi surface, *i.e.*, for  $\xi_p = 0$ . It is of the relative order of  $T_c/E_F$ , nevertheless, it is very important for the Hall effect in the mixed state of superconductors.

Vortex motion and the Hall effect

Low magnetic fields,  $B \ll H_{c2}$ .

The variation of the total free energy, i.e., of the condensation energy, Eq. (3), together with the magnetic energy, caused by the displacement of the vortex lattice by an arbitrary vector  $\vec{d}$ , is <sup>12</sup> (omitting the surface terms)

$$\delta \mathcal{F} = \int \left( (\vec{d} \vec{\nabla}) \Psi \frac{\delta \mathcal{F}_{sn}}{\delta \Psi} + \text{c.c.} + (\vec{d} \vec{\nabla}) \vec{A} \frac{\delta \mathcal{F}_{sn}}{\delta \vec{A}} + \frac{1}{4\pi} \vec{H} \text{ curl}[(\vec{d} \vec{\nabla}) \vec{A}] \right) dV . \tag{6}$$

The free-energy variation of Eq. (6) is the work done by the force exerted by excitations. If the integration in Eq. (6) is extended over the area  $S_0$  of one vortex-lattice unit cell, we obtain the force acting on one vortex. This force should be balanced by the external Lorentz force from the transport supercurrent. Therefore  $^{12}$ 

$$\frac{\phi_o}{c}(\vec{d}[\vec{j}_{t\tau} \times \vec{n}]) = \int_{S_0} \left( -\gamma (\vec{d} \vec{\nabla}) \Psi^* \left( \frac{\partial \Psi}{\partial t} + 2ie\phi \Psi \right) - \text{c.c.} + \frac{\sigma_n}{c} [(\vec{d} \vec{\nabla}) \vec{A}] \vec{j}_n \right) dS.$$
 (7)

Here  $\vec{n}$  is the unit vector of the vortex circulation, and  $\phi_o = \pi c/2e$  is the flux quantum.

The scalar potential  $\phi$  in Eq. (7) is proportional to  $v_L$ . It obeys the equation which can be obtained as follows. Note that

$$\frac{\delta \mathcal{F}}{\delta \chi} \equiv i \left( \Psi \frac{\delta \mathcal{F}}{\delta \Psi} - \Psi^* \frac{\delta \mathcal{F}}{\delta \Psi^*} \right) = -\frac{1}{2e} \operatorname{div} \vec{j}_s \quad , \tag{8}$$

where  $\chi$  is the phase of the order parameter. From  $\operatorname{div}_{j} = 0$  and Eq. (1) one has

$$\nabla^2 \Phi - \frac{8e^2 \gamma' |\Psi|^2}{\sigma_n} \Phi = -\frac{1}{c} \operatorname{div} \frac{\partial \vec{Q}}{\partial t} + \frac{2e\gamma''}{\sigma_n} \frac{\partial |\Psi|^2}{\partial t} - \frac{4\pi \sigma_n^H}{\sigma_n^2 H c} \left( \vec{E} \vec{j} + \frac{\partial}{\partial t} (\frac{H^2}{8\pi}) \right). \tag{9}$$

Here  $\Phi = \phi + (1/2e)(\partial \chi/\partial t)$ , and  $\vec{Q} = \vec{A} - (c/2e)\vec{\nabla}\chi$ . The last two terms in the right-hand side of Eq. (9) are associated with the Hall effect.

For a slow vortex motion, the time derivative in Eqs. (7, 9) can be replaced with  $-\vec{v}_L \vec{\nabla}$  acting on variables describing a static vortex. Therefore, Eq. (7) contains either the known functions or the function  $\Phi$  which can be found from Eq. (9). The boundary conditions for  $\Phi$  are: (1)  $\Phi = 0$  for large distances from the vortex, and (2) the scalar potential  $\phi$  is finite at the center of the vortex.

We consider a superconductor with a large Ginzburg-Landau parameter  $\kappa$ . In this case one can neglect both the term with the vector potential  $\vec{A}$  in Eq. (7) and the normal-state Hall contribution to Eq. (9).

The magnitude of the order parameter is  $|\Psi| = |\Psi_0| f$ , where  $|\Psi_0|$  is its equilibrium value. For a single vortex, f is a function of the distance from the vortex axis,  $\rho$ , the order-parameter phase is just the azimuthal angle  $\chi = \varphi$ , and  $\vec{Q} = (0, -c/2e\rho, 0)$  in the cylindrical coordinate frame  $(\rho, \varphi, z)$  associated with the vortex.

Let us put  $\Phi = \Phi_0 + \Phi_1$ , where

$$\Phi_0 = -\frac{v_{L\varphi}}{2e\xi} \,\mu_0(\rho) \quad , \quad \Phi_1 = -\zeta \,\frac{v_{L\rho}}{2e\xi} \,\mu_1(\rho). \tag{10}$$

Here  $\xi$  is the temperature-dependent coherence length. The function  $\mu_0$  satisfies the equation

$$\xi^{2} \left( \frac{d^{2}}{d\rho^{2}} + \frac{1}{\rho} \frac{d}{d\rho} - \frac{1}{\rho^{2}} \right) \mu_{0} - u f^{2} \mu_{0} = 0$$
 (11)

with the boundary conditions <sup>13</sup>  $\mu_0 = 0$  for  $\rho \to \infty$  and  $\mu_0 = \xi/\rho$  for  $\rho \to 0$ . Here  $u = 8e^2\gamma'\xi^2 \mid \Psi_0 \mid^2/\sigma_n$  is the numerical factor equal to the ratio scuared of  $\xi$  and the electric-field penetration length. For a weak pair-breaking,  $\tau_0 T_c \gg 1$ , the factor u = 5.79. For  $\tau_0 T_c \ll 1$  (high concentration of magnetic impurities) u = 12. The term with  $\Phi_0$  has been obtained earlier for purely dissipative flux flow. The new term  $\mu_1$  satisfies the equation

$$\xi^{2} \left( \frac{d^{2}}{d\rho^{2}} + \frac{1}{\rho} \frac{d}{d\rho} - \frac{1}{\rho^{2}} \right) \mu_{1} - u f^{2} \mu_{1} = -u \xi f \frac{df}{d\rho}$$
 (12)

with the boundary conditions  $\mu_1 = 0$  for both  $\rho = 0$  and  $\rho \to \infty$ .

Collecting all the terms in Eq. (7) we obtain

$$\vec{j}_{tr} = \sigma_f \vec{E} + \sigma_f^H [\vec{E} \times \vec{H}] / H, \tag{13}$$

where

$$\sigma_f = \frac{\alpha u \sigma_n}{2} \left( \frac{H_{c2}}{B} \right)$$
, and  $\sigma_f^H = \text{sign}(e) \frac{\zeta \beta u \sigma_n}{2} \left( \frac{H_{c2}}{B} \right)$  (14)

are the Ohmic and Hall conductivities in the flux-flow regime, respectively. The sign of the electron charge in  $\sigma_f^H$  appears since the circulation of the phase is chosen for the positive charge of carriers.

The constants

$$\alpha = \int_0^\infty \left( \rho \left( \frac{df}{d\rho} \right)^2 + \frac{f^2 \mu_0}{\xi} \right) d\rho, \tag{15}$$

and

$$\beta = \int_0^\infty \left( \frac{1}{2} (1 + \frac{\rho \mu_0}{\xi}) \frac{df^2}{d\rho} - \frac{f^2 \mu_1}{\xi} \right) d\rho \tag{16}$$

can be calculated numerically from the solutions of Eqs. (11) and (12) using the known function f. The constant  $\alpha$  has been calculated earlier (see Ref. <sup>12</sup>):  $\alpha \approx 0.502$  for u = 5.79, and  $\alpha \approx 0.438$  for u = 12. Solving Eq. (12) for the function  $\mu_1$ , we obtain  $\beta \approx 0.27$  for u = 5.79. One can consider also other values of u which model various pair-breaking mechanisms. For u = 12 (high concentration of magnetic impurities)  $\beta \approx 0.21$ . For small  $u \ll 1$ , one has  $\beta = 1$  since  $\mu_1 \sim u$  and  $\mu_0 = \xi/\rho$ .

High magnetic fields,  $B \rightarrow H_{c2}$ .

In the limit of high magnetic fields,  $H_{c2} - H \ll H_{c2}$ , one needs to solve the linearized TDGL equation with  $\phi = -E_x x - E_y y$ . Assuming  $A_y = Bx$ ,  $A_x = 0$ , one finds the solution within first-order terms in  $\vec{E}$ 

$$\Psi = \sum_{n} C_n \exp[i(qn + 2eE_y t)(y + cE_x t/B)] \cdot \exp\left[-\frac{1}{2\xi^2} \left(x - \frac{cE_y t}{B} - \frac{cqn}{2eB}\right)^2 + 2me\xi^2 \gamma (iE_x - \text{sign}(e)E_y) \left(x - \frac{cqn}{2eB}\right)\right]. \tag{17}$$

This solution describes a slightly modified vortex lattice moving with the velocity  $\vec{v}_L = (cE_y/B \; ; \; -cE_x/B)$ . It is similar to that obtained in <sup>14</sup>.

The order-parameter magnitude can be found from the nonlinear GL equation. The coefficients  $C_n$  correspond to the Abrikosov vortex lattice with the parameter  $\beta_A \approx 1.16$ . After calculating the averaged total current using Eqs. (4) and (17) one obtains Eq. (13) with

$$\sigma_f = \sigma_n + 4e^2 \xi^2 \gamma' \langle |\Psi|^2 \rangle = \sigma_n \left[ 1 + \frac{u}{2} \frac{H_{c2} - B}{\beta_A H_{c2}} \right], \tag{18}$$

$$\sigma_f^H = \sigma_n^H + \operatorname{sign}(e)\sigma_n \frac{\zeta u}{2} \frac{H_{c2} - B}{\beta_A H_{c2}} \Big]. \tag{19}$$

Discussion

The ratio of the Hall and the flux-flow conductivities gives the Hall angle. For  $B \ll H_{c2}$ , the Hall angle is independent of the magnetic field:  $\tan \Theta_H = \mathrm{sign}(e)\beta\zeta/\alpha$ . It is negative for quasiparticles with a positive derivative  $(\partial\nu(0)/\partial\xi_p)$  and vice versa. The Hall angle becomes field-dependent as  $B \to H_{c2}$ :

$$\tan \Theta_H = \frac{\sigma_n^H}{\sigma_n} + \operatorname{sign}(e) \zeta \frac{u}{2} \frac{H_{c2} - B}{\beta_A H_{c2}}.$$
 (20)

In the normal state,  $H>H_{c2}$ , the sign of the Hall angle is controlled by the effective sign of the charge carriers, i.e., by the sign of  $\sigma_n^H$ . The Hall conductivity in the mixed state, however, contains the different quantity, i.e.,  $\gamma''$ , which is proportional to the energy derivative of the density of states averaged over the Fermi surface. For a simple isotropic Fermi surface, the sign of the Hall conductivity in both the normal and the mixed states is equal to the sign of  $(e/m^*)$ . However, for a complicated Fermi surface which has electron-like and hole-like parts, there appears a new possibility: the signs of  $(e\zeta)$  and  $\sigma_n^H$  can be different since they result from the averaging of the different sign-alternating quantities. If these signs are opposite, the Hall angle will change its sign with a decrease in the magnetic field below  $H_{c2}$ .

Both the same and opposite signs of  $\sigma_n^H$  and  $\sigma_f^H$  seem to be observed experimentally for usual superconductors <sup>7,15</sup>. As a rule, the sign reversal is observed for pure Nb and V which have complicated Fermi surfaces, while there is no change in sign for dirty materials. According to our results, the sign reversal

depends crucially on the shape of the Fermi surface. The effect of impurities might be a simplification of the Fermi surface due to the scattering; as a result, there would be no sign reversal. Moreover, the shape of the Fermi surface depends on the position of the Fermi level. These may be the reasons why the experimental data for the Hall angle is so diverse for various samples.<sup>7</sup>

The experimental data for high temperature superconductors provides even more puzzle: the sign reversal is observed usually for temperatures close to  $T_c$  and disappears for lower temperatures. This effect, surely, deserves futher studies. For example, one can investigate contributions to the imaginary part  $\gamma''$  which can come from energy dependences of the pairing potential and/or of scattering times in various models including the phonon model of superconductivity. We will consider these effects elsewhere.

The authors are grateful to A.S. Ioselevich and V.L. Pokrovsky for valuable discussions.

- S.J. Hagen, C.J. Lobb, R.L. Greene, M.G. Forrester, and J.H. Kang, Phys. Rev. B 41, 11630 (1990).
- 2. M.A. González, P. Prieto, D. Oyola, and J.L. Vicent, Physica C 180, 220 (1991).
- 3. S.J. Hagen, C.J. Lobb, R.L. Greene, and M. Eddy, Phys. Rev. B 43, 6246 (1991).
- J. Luo, T.P. Orlando, J.M. Graybeal, X.D. Wu, and R. Muenchausen. Phys. Rev. Lett. 68, 690 (1992).
- 5. Z.D. Wang and C.S. Ting, Phys. Rev. Lett. 67, 3618 (1991).
- 6. R.A. Ferrell, Phys. Rev. Lett. 68, 2524, (1992).
- 7. K. Noto, S. Shinzawa and Y. Muto, Solid State Commun. 18, 1081 (1976).
- N.B. Kopnin and V.E. Kravtsov, Pis'ma Zh. Eksp, Teor. Fiz. 23, 631 (1976) [JETP Lett. 23, 578 (1976)]
- N.B. Kopnin and V.E. Kravtsov, Zh. Eksp, Teor. Fiz. 71, 1644 (1976) [Sov. Phys. JETP 44, 861 (1976)].
- 10. J. Bardeen and M.J. Stephen, Phys. Rev. 140 A, 1197 (1965).
- 11. K. Maki, Progr. Theor. Phys. 41, 902 (1969).
- L.P. Gor'kov and N.B. Kopnin, Usp. Fiz. Nauk 116, 413 (1975) [Sov. Phys. Usp. 18, 496 (1976)]
- 13. M.Yu. Kuprijanov and K.K. Likharev, Pis'ma Zh. Eksp. Teor. Fiz. 15, 349 (1972).
- 14. H. Ebisawa, J. Low Temp. Phys. 9, 11 (1972).
- 15. A.K. Niessen, F.A. Staas, and C.H. Weijsenfeld, Phys. Lett. 25 A, 33 (1967).