

Supplemental Material for

“Fermi points and the Nambu sum rule in the polar phase of ^3He ”

1. “Gap” equation for the polar phase with the spin-orbit interaction taken into account. In the presence of spin-orbit interactions we consider the condensate of the form

$$A_{\alpha i}^{(0)} = (\beta V)^{1/2} \frac{\Delta}{2} \delta_{p0} (\hat{d}^\alpha \hat{m}^i + \kappa^{\alpha i}), \quad (1)$$

with $|\kappa^{\alpha i}| \ll 1$. The gap equation receives the form

$$\Omega^{i\alpha} \equiv \left(\frac{1}{g} - \frac{1}{g_m}\right) \kappa^{\alpha i} \Delta + \left(\frac{1}{g} - \frac{1}{g_m} - \frac{2}{5}g_D\right) \hat{m}^i \hat{d}^\alpha \Delta + \frac{3}{5}g_D \hat{m}^\alpha \hat{d}^i \Delta = -2 \int \frac{d^3 k d\omega}{(2\pi)^4} \text{Tr} \gamma^5 \gamma^\alpha \hat{k}^i G(i\omega, k) \quad (2)$$

with

$$G(\epsilon, k) = \frac{1}{\sum_{\mu=1,2,3,5} \mathcal{P}_\mu(\epsilon, k) \gamma^\mu - \mathcal{M}(k)} \gamma^5 \quad (3)$$

and

$$\mathcal{P}^5 = \epsilon, \quad \mathcal{P}^\alpha = \Delta \left(\hat{d}^\alpha \hat{m}^i + \kappa^{\alpha i} \right) \hat{k}^i, \quad \mathcal{M} = v_F (|k| - k_F).$$

(It is taken into account that $(\hat{m}\hat{d}) = 0$.) We may rewrite this equation as follows

$$\Omega^{i\alpha} = -2 \int \frac{d^3 k d\omega}{(2\pi)^4} \frac{\text{Tr} \left(\mathcal{P}_\mu(\epsilon, k) \gamma^\mu + \mathcal{M}(k) \right) \gamma^\alpha \hat{k}^i}{\omega^2 + \Delta_\theta^2 + \mathcal{M}^2(k)}, \quad (4)$$

where $\Delta_\theta = \Delta(\hat{m}\hat{k})$. Now we have

$$\begin{aligned} \left(\frac{1}{g} - \frac{1}{g_m}\right) \kappa^{\alpha i} \Delta &= \frac{2}{5}g_D \hat{m}^i \hat{d}^\alpha \Delta - \frac{3}{5}g_D \hat{m}^\alpha \hat{d}^i \Delta + \kappa^{\alpha i} \Delta \left(\frac{1}{2}J^{(0)} - \frac{1}{2}J^{(1)} \right) + (\kappa^{\alpha j} \hat{m}^j) \hat{m}^i \Delta \left(\frac{3}{2}J^{(1)} - \frac{1}{2}J^{(0)} \right) + \\ &+ (2\kappa^{\beta i} \hat{d}^\beta) \hat{d}^\alpha \Delta \left(\frac{1}{2}\tilde{J}^{(0)} - \frac{1}{2}\tilde{J}^{(1)} \right) + (2\kappa^{\beta j} \hat{d}^\beta \hat{m}^j) \hat{d}^\alpha \hat{m}^i \Delta \left(\frac{3}{2}\tilde{J}^{(1)} - \frac{1}{2}\tilde{J}^{(0)} \right), \end{aligned} \quad (5)$$

where

$$\begin{aligned} J^{(0)} &= \frac{1}{4\pi^2 v_F^3} \int \frac{d\phi}{2\pi} d\cos\theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}}, \\ J^{(1)} &= \frac{1}{4\pi^2 v_F^3} \int \frac{d\phi}{2\pi} d\cos\theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} (\hat{k}\hat{m})^2, \\ \tilde{J}^{(0)} &= \Delta^2 \frac{\partial}{\partial \Delta^2} J^{(0)}, \quad \tilde{J}^{(1)} = \Delta^2 \frac{\partial}{\partial \Delta^2} J^{(1)}. \end{aligned} \quad (6)$$

Here the energy cutoff Λ_θ and the momentum cutoff \mathcal{K} are related by expression $\Lambda_\theta^2/4 = v_F^2 \mathcal{K}^2 + \Delta_\theta^2$ (integration is over momenta with $|k - k_F| < \mathcal{K}$). We keep the terms linear in g_D and $\kappa^{\alpha i}$. Here

$$\begin{aligned} J^{(0)} &\approx \frac{4k_F^2}{\pi^2 v_F} \left(\log \frac{2v_F \mathcal{K}}{\Delta} + 1 \right), \\ J^{(1)} &= \frac{1}{g} - \frac{1}{g_m} \approx \frac{4k_F^2}{3\pi^2 v_F} \left(\log \frac{2v_F \mathcal{K}}{\Delta} + \frac{1}{3} \right), \\ \tilde{J}^{(1)} &= -\frac{2k_F^2}{3\pi^2 v_F} \end{aligned} \quad (7)$$

and

$$\kappa^{\alpha i} = a \hat{d}^\alpha \hat{m}^i + b \hat{m}^\alpha \hat{d}^i \quad (8)$$

with $a = \frac{3v_F \pi^2}{10} \frac{g_D}{k_F^2}$ and $b = \frac{v_F 9 \pi^2}{20} \frac{g_D}{k_F^2}$.

2. Bosonic collective modes in the polar phase. Let us calculate the energy gaps of the bosonic collective modes. In our calculation for simplicity we neglect spin-orbit interaction. The quadratic part of the effective action for the fluctuations around the condensate has the form:

$$S_{\text{eff}}^{(1)} = (\bar{u}, \bar{v}) [1/g - \Omega - \Pi] \begin{pmatrix} u \\ v \end{pmatrix}, \quad (9)$$

where

$$\Omega_{\bar{\alpha} i}^{\alpha i} = \frac{1}{g_m} \delta_{\bar{\alpha}}^\alpha \hat{m}^i \hat{m}^{\bar{i}},$$

while

$$u_{i\alpha}(p) = \frac{\delta A_{i\alpha}(p) + \delta \bar{A}_{i\alpha}(-p)}{2}$$

and

$$v_{i\alpha}(p) = \frac{\delta A_{i\alpha}(p) - \delta \bar{A}_{i\alpha}(-p)}{2i}.$$

Here

$$\left[\Pi^{\bar{u}u}(E) \right]_{\bar{\alpha} i}^{\alpha i} = i \int \frac{d^3 k d\epsilon}{(2\pi)^4} \text{Tr} G(\epsilon, k) \gamma^5 \gamma^\alpha \hat{k}^i G(\epsilon - E, k) \gamma^5 \gamma^{\bar{\alpha}} \hat{k}^{\bar{i}} \quad (10)$$

and

$$\left[\Pi^{\bar{v}v}(E) \right]_{\bar{\alpha} i}^{\alpha i} = -i \int \frac{d^3 k d\epsilon}{(2\pi)^4} \text{Tr} G(\epsilon, k) \gamma^\alpha \hat{k}^i G(\epsilon - E, k) \gamma^{\bar{\alpha}} \hat{k}^{\bar{i}}. \quad (11)$$

The polarization operator can be represented as

$$\Pi(E) = \frac{1}{\pi} \int_0^\infty dz \frac{\rho(z)}{z - E^2}, \quad (12)$$

where the spectral function may be calculated using the Cutkosky rule (see the Landau–Lifshitz course of theoretical physics, vol. 4, chapter 115)

$$\begin{aligned} 2 \left[\rho^{\bar{u}u} \right]_{\bar{\alpha} i}^{\alpha i} &= -4\pi^2 \int_{\epsilon > 0} \frac{d^3 k d\epsilon}{(2\pi)^4} \text{Tr} \left(\mathcal{P}_\mu(\epsilon, k) \gamma^\mu + \mathcal{M}(k) \right) \gamma^\alpha \hat{k}^i \left(\mathcal{P}_\mu(\epsilon - E, k) \gamma^\mu + \mathcal{M}(k) \right) \gamma^{\bar{\alpha}} \hat{k}^{\bar{i}} \times \\ &\times \delta(\mathcal{P}^2(\epsilon, k) - \mathcal{M}^2(k)) \delta(\mathcal{P}^2(\epsilon - E, k) - \mathcal{M}^2(k)) = - \sum_{\pm} \int \frac{d\phi \left(k_F \pm \frac{\sqrt{t-4\Delta_\theta^2}}{2v_F} \right)^2 d\cos\theta}{2\pi 2\pi v_F \sqrt{t-4\Delta_\theta^2} \sqrt{t}} \times \\ &\times \left(\left(\frac{t}{2} - \Delta_\theta^2 \right) \text{Tr} \gamma^\alpha \hat{k}_\pm^i \gamma^{\bar{\alpha}} \hat{k}_\pm^{\bar{i}} + \Delta_\theta^2 \text{Tr} (\hat{d}\gamma) \gamma^\alpha \hat{k}_\pm^i (\hat{d}\gamma) \gamma^{\bar{\alpha}} \hat{k}_\pm^{\bar{i}} \right) \theta(t - 4\Delta_\theta^2) \theta(\Lambda_\theta^2 - t) = \\ &= \frac{1}{2\pi v_F^3} \int \frac{d\phi}{2\pi} d\cos\theta \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t-4\Delta_\theta^2} \sqrt{t}} \left(t \delta^{\alpha\bar{\alpha}} - 4\Delta_\theta^2 \hat{d}^\alpha \hat{d}^{\bar{\alpha}} \right) \hat{k}_+^i \hat{k}_+^{\bar{i}} \theta(t - 4\Delta_\theta^2) \theta(\Lambda_\theta^2 - t), \end{aligned} \quad (13)$$

where $\hat{k} = (\sin\theta \cos\phi, \sin\theta \sin\phi, \cos\theta)$ while $E/2 = \sqrt{t}/2 = \epsilon_+ = \epsilon_-$; $k_\pm = k_F \pm \frac{\sqrt{t-4\Delta_\theta^2}}{2v_F}$, and $\Delta_\theta \equiv \Delta(\hat{m}\hat{k}_+) \equiv \Delta \cos\theta$. In the similar way

$$2 \left[\rho^{\bar{v}v} \right]_{\bar{\alpha} i}^{\alpha i} = \frac{1}{2\pi v_F^3} \int \frac{d\phi}{2\pi} d\cos\theta \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t-4\Delta_\theta^2} \sqrt{t}} \left((t - 4\Delta_\theta^2) \delta^{\alpha\bar{\alpha}} + 4\Delta_\theta^2 \hat{d}^\alpha \hat{d}^{\bar{\alpha}} \right) \hat{k}_+^i \hat{k}_+^{\bar{i}} \theta(t - 4\Delta_\theta^2) \theta(\Lambda_\theta^2 - t). \quad (14)$$

3. Energy gaps and the Nambu sum rule. Let us come to the evaluation of the energy gaps.

$L = S = 0$. We take components with $\alpha = 2, i = 3$. In the v -channel at $S = L = 0$ the energy gap is equal to zero that leads to the condition

$$1/g - 1/g_m = \int_{-1}^1 \cos^2 \theta d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}}. \quad (15)$$

Recall that $\Delta_\theta = \Delta \cos \theta$ while the energy cutoff Λ_θ and the momentum cutoff \mathcal{K} are related by expression $\Lambda_\theta^2/4 = v_F^2 \mathcal{K}^2 + \Delta_\theta^2$ (integration is over momenta with $|k - k_F| < \mathcal{K}$). Actually, Eq. (15) is equivalent to the “gap” equation that relates the value of Δ with the coupling constants g, g_m and the momentum cutoff \mathcal{K} . In the similar way

$$1/g - 1/g_m = \int_{-1}^1 \cos^2 \theta d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} \frac{t - 4\Delta_\theta^2}{t - E_{u,L=0,S=0}^2}. \quad (16)$$

Let us subtract Eq. (15) from Eq. (16). Assuming that $v_F k_F \gg v_F \mathcal{K} \gg \Delta$ we have:

$$0 = \frac{2k_F^2}{\pi^2 v_F} \int_{-1}^1 \cos^2 \theta d \cos \theta \int_1^\infty dz \frac{1}{\sqrt{z^2 - 1}} \frac{E_{u,L=0,S=0}^2/(4\Delta_\theta^2) - 1}{z^2 - E_{u,L=0,S=0}^2/(4\Delta_\theta^2)}. \quad (17)$$

The integrals in this equation may be taken and the result is expressed through the hypergeometric functions:

$$0 = \frac{4k_F^2}{\pi^2 v_F} \left[\frac{1}{4} w^4 \sqrt{\pi} \left(\frac{3}{8w} \pi^{3/2} - \frac{32}{15\sqrt{\pi}} F_{3/2,7/2}^{1/2,1,3}(-w^2) \right) - \frac{1}{4} w^4 \sqrt{\pi} \left(\frac{1}{2w} \pi^{3/2} - \frac{8}{3\sqrt{\pi}} F_{3/2,5/2}^{1/2,1,2}(-w^2) \right) + \frac{1}{3} w^2 + \frac{1}{3} \right], \quad (18)$$

where

$$w = \frac{-iE_{u,L=0,S=0}}{2\Delta}.$$

Technically we calculate the value of the integral in Eq. (17) at real values of w . Next, the obtained result is to be continued analytically to the whole complex plane. It is done in the way utilised inside the MAPLE package.

Numerical solution of this equation gives

$$E_{u,S=0,L=0} = \sqrt{12/5} \left(1.007853779 - 0.3828669418 i \right) \Delta. \quad (19)$$

This solution is illustrated by Fig.1, where the absolute value of the right hand side of Eq. (18) in the units of $\frac{4k_F^2}{\pi^2 v_F}$ is represented as a function of $w = A + iB$. One can see, that there is the solution in the physical part of the complex plane (at $\text{Re } w < 0, \text{Im } w < 0$). It corresponds to the energy gap of the given collective mode.

$L = 0, S = 1$. We take components with $\alpha = 1, 3, i = 3$.

In the u -channel at $L = 0, S = 1$ the energy gap is equal to zero that leads to the condition, which coincides with Eq. (15). In the similar way equation for the v channel gives

$$E_{u,S=1,L=0} = 0, \quad E_{v,S=1,L=0} = E_{u,S=0,L=0} \quad (20)$$

$L = 1, S = 0$. We take components with $\alpha = 2, i = 1, 2$. In the u channel

$$\frac{1}{g} = \int_{-1}^1 \frac{1 - \cos^2 \theta}{2} d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} \frac{t - 4\Delta_\theta^2}{t - E_{u,L=1,S=0}^2}. \quad (21)$$

At $E_{u,L=1,S=0} = 0$ we may rewrite this equation in the form with the integration over k instead of integration over t :

$$\frac{1}{g} = 8\pi \int \frac{d^3 k}{(2\pi)^4} \frac{\sin^2 \theta \mathcal{M}^2(k)}{2(\Delta^2 \cos^2 \theta + \mathcal{M}^2(k))^{3/2}}. \quad (22)$$

One can check that after the integration over θ the right hand sides of the two expressions Eq. (4) and Eq. (22) coincide. Therefore, in the absence of the extra interaction that stabilizes direction of \hat{n} in this channel the Goldstone boson appears as it should.

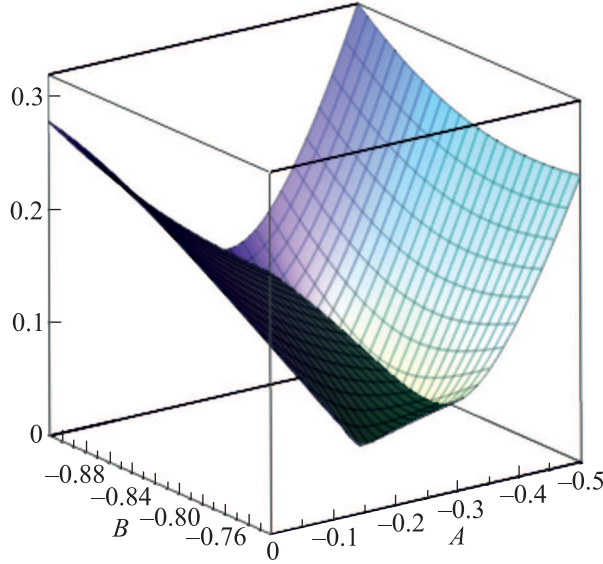


Figure 1: The absolute value of the right hand side of Eq. (18) in the units of $\frac{4k_F^2}{\pi^2 v_F}$ is represented as a function of $w = A + iB$

In the presence of this extra interaction we have the following equation for the determination of $E_{u,L=1,S=0}$:

$$\frac{1}{g_m} = \int_{-1}^1 \frac{1 - \cos^2 \theta}{2} d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} \frac{(t - 4\Delta_\theta^2) E_{u,L=1,S=0}^2}{t(t - E_{u,L=1,S=0}^2)}. \quad (23)$$

In the v channel we have

$$\frac{1}{g} = \int_{-1}^1 \frac{1 - \cos^2 \theta}{2} d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} \frac{t}{t - E_{v,L=1,S=0}^2}. \quad (24)$$

Subtracting the gap equation we may represent this expression as follows

$$\frac{1}{g_m} = \int_{-1}^1 \frac{1 - \cos^2 \theta}{2} d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} \frac{(E_{v,L=1,S=0}^2(t - 4\Delta_\theta^2) + 4\Delta_\theta^2 t)}{t(t - E_{v,L=1,S=0}^2)}. \quad (25)$$

The value of $1/g_m$ should be sufficiently large in order to make vacuum stable. The critical value $g_m^{(c)}$ is determined by equation:

$$\frac{1}{g_m^{(c)}} = \int_{-1}^1 \frac{1 - \cos^2 \theta}{2} d \cos \theta \int_{4\Delta_\theta^2}^{\Lambda_\theta^2} dt \frac{1}{4\pi^2 v_F^3} \frac{t - 4\Delta_\theta^2 + 4v_F^2 k_F^2}{\sqrt{t - 4\Delta_\theta^2} \sqrt{t}} \frac{4\Delta_\theta^2}{t} = \frac{2k_F^2}{3\pi^2 v_F}. \quad (26)$$

At this critical value of g_m the energy gap $E_{v,L=1,S=0}$ is close to zero. We get

$$-1/g_m + 1/g_m^{(c)} = \frac{2k_F^2}{\pi^2 v_F} \int_{-1}^1 \frac{1 - x^2}{2} dx \int_1^\infty dz \frac{1}{\sqrt{z^2 - 1}} \frac{w^2 + x^2}{x^2 z^2 + w^2}, \quad w = -\frac{iE_{u,L=1,S=0}}{2\Delta} \quad (27)$$

and

$$-1/g_m + 1/g_m^{(c)} = \frac{2k_F^2}{\pi^2 v_F} \int_{-1}^1 \frac{1 - x^2}{2} dx \int_1^\infty dz \frac{1}{\sqrt{z^2 - 1}} \frac{w^2}{x^2 z^2 + w^2}, \quad w = -\frac{iE_{v,L=1,S=0}}{2\Delta}. \quad (28)$$

The integration gives correspondingly

$$0 = 1/g_m - 1/g_m^{(c)} + \frac{4k_F^2}{\pi^2 v_F} \left[\frac{1}{16} w^4 \sqrt{\pi} \left(\frac{1}{4w} \pi^{3/2} - \frac{16}{15\sqrt{\pi}} F_{3/2,7/2}^{1/2,1,2}(-w^2) \right) + \right. \\ \left. + \frac{1}{16} w^4 \sqrt{\pi} \left(\frac{1}{w} \pi^{3/2} - \frac{8}{3\sqrt{\pi}} F_{3/2,5/2}^{1/2,1,1}(-w^2) \right) - \frac{1}{6} w^2 + \frac{1}{3} \right] \quad (29)$$

for the u -mode and

$$0 = 1/g_m - 1/g_m^{(c)} + \frac{4k_F^2}{\pi^2 v_F} \left[\frac{1}{8} w^4 \sqrt{\pi} \left(\frac{1}{2w} \pi^{3/2} - \frac{8}{3\sqrt{\pi}} F_{3/2,5/2}^{1/2,1,2}(-w^2) \right) + \right. \\ \left. + \frac{1}{8} w^4 \sqrt{\pi} \left(\frac{1}{w} \pi^{3/2} - \frac{4}{\sqrt{\pi}} F_{3/2,3/2}^{1/2,1,1}(-w^2) \right) - \frac{1}{2} w^2 \right] \quad (30)$$

for the v -mode.

It appears, that for $1/g_m^{(c)} > 0 > 1/g_m$ the first equation has the solution for real value of w and imaginary value of $E_{u,L=1,S=0}$. For $0 = 1/g_m$ the solution with $E_{u,L=1,S=0} = 0$ appears, while for $0 < 1/g_m$ there are no solutions of this equation in the physical region of ω . (For $\text{Im } \omega = 0$ the physical region is $\text{Re } \omega \geq 0$.)

The second equation for $1/g_m < 1/g_m^{(c)}$ has the solution with real w and pure imaginary $E_{v,L=1,S=0}$, as it was pointed out above. For $1/g_m^{(c)} = 1/g_m$ the solution with $E_{v,L=1,S=0} = 0$ appears, while for $1/g_m^{(c)} < 1/g_m$ there is the solution with real negative w . It does not represent any solution of the original equation given by the integral and therefore belongs to the unphysical region of w .

This situation is illustrated by Fig. 2, where the absolute value of the right hand side of Eq. (30) in the units of $\frac{4k_F^2}{\pi^2 v_F}$ is

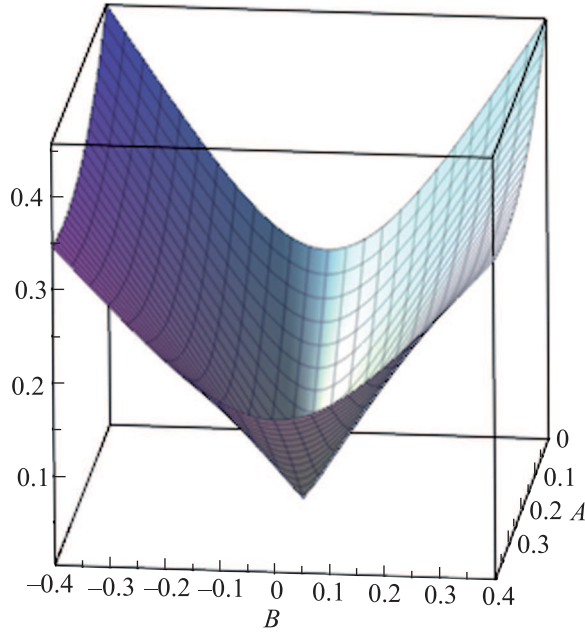


Figure 2: The absolute value of the right hand side of Eq. (30) in the units of $\frac{4k_F^2}{\pi^2 v_F}$ is represented as a function of $w = A + iB$ for $1/g - 1/g_m = -0.2 \frac{4k_F^2}{\pi^2 v_F}$

represented as a function of $w = A + iB$ for $1/g_m - 1/g_m^{(c)} = -0.2 \frac{4k_F^2}{\pi^2 v_F}$. One can see, that there is the solution at $\text{Im } \omega = 0$, $\text{Re } \omega > 0$. It corresponds to the pure imaginary energy gap, and indicates the instability of vacuum. When the value of

$1/g_m - 1/g_m^{(c)}$ is increased, the solution approaches zero. At $1/g_m - 1/g_m^{(c)} > 0$ the solution of Eq. (30) exists at real negative values of ω that are not physical because they do not correspond to any solutions of Eq. (28).

$L = 1, S = 1$. We take components with $\alpha = 1, 3; i = 1, 2$. It appears, that here the equations for the determinations of the gaps are the same as for $L = 1, S = 0$ with the modes u and v exchanged. We come to

$$E_{u,S=1,L=1} = E_{v,S=0,L=1}, \quad E_{v,S=1,L=1} = E_{u,S=0,L=1}. \quad (31)$$

One can see, that in the channels with $L = 0$, where the gaps of the order of Δ appear, these gaps satisfy the Nambu sum rule

$$E_u^2 + E_v^2 = 4\langle\Delta_\theta^2\rangle = \frac{12}{5}\Delta^2.$$

We come to the conclusion, that vacuum becomes stable for $g_m < g_m^{(c)}$, but the Higgs modes in the channels with $L = 1$ do not exist.